

## ACCOUNT OPTIONS FORM

### SECTION 1: Account Information

Account Number \_\_\_\_\_

Owner's Name (Last, First, Middle Initial) \_\_\_\_\_

Owner's Social Security Number \_\_\_\_\_ Date of Birth (MM/DD/YY) \_\_\_\_\_

Joint Owner's Name (Last, First, Middle Initial) (if applicable) \_\_\_\_\_

Joint Owner's Social Security Number \_\_\_\_\_ Date of Birth (MM/DD/YY) \_\_\_\_\_

Address of Residence - P.O. Box is not accepted (Street, City, State, Zip Code) \_\_\_\_\_

Mailing Address - If different from above (P.O. Boxes accepted) \_\_\_\_\_

( ) ( )  
Day Phone Evening Phone

E-mail Address \_\_\_\_\_

Check here if new address

### SECTION 2: Automatic Investment Plan

An automatic investment plan deposits money directly into this account from your checking or savings account on a monthly, quarterly or annual basis.

Please complete this section if you would like to:

Establish  Modify or  Discontinue an automatic investment plan.  
There is a \$100 requirement per month per fund.

Fund Name	Amount
Transparent Value Dow Jones RBP® U.S. Large-Cap Aggressive Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Aggressive Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Aggressive Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Aggressive Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Core Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Core Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Core Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Core Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Defensive Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Defensive Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Defensive Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Defensive Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Dividend Index Fund Class A	\$ _____

### SECTION 2: Automatic Investment Plan (continued)

Fund Name	Amount
Transparent Value Dow Jones RBP® U.S. Dividend Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Dividend Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Dividend Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Growth Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Growth Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Growth Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Growth Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Market Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Market Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Market Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Market Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Value Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Value Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Value Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Value Index Fund Class I	\$ _____
<b>Total Amount</b>	\$ _____

How often would you like to invest?

Monthly  Quarterly  Annually

On or about which date? (e.g., 2nd, 15th) \_\_\_\_\_

If no date is selected, withdrawals will be made on or about the 1st of each month.

■ Please provide **bank information** in Section 6, if applicable.

### SECTION 3: Distribution Options

Please complete this section if you would like to change your current distribution option.

Dividend distribution:  Cash  Reinvest  
Capital Gains distribution:  Cash  Reinvest

Check here if you would like cash distributions deposited directly to your bank account.

■ Please provide **bank information** in Section 6, if applicable.

**SECTION 4: Systematic Withdrawal Plan**

Systematic withdrawal plan automatically withdraws money from this account on a monthly, quarterly or annual basis.

Please complete this section if you would like to:

Establish  Modify or  Discontinue a systematic withdrawal plan.

There is a \$100 requirement per month per fund.

Fund Name	Amount
Transparent Value Dow Jones RBP® U.S. Large-Cap Aggressive Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Aggressive Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Aggressive Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Aggressive Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Core Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Core Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Core Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Core Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Defensive Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Defensive Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Defensive Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Defensive Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Dividend Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Dividend Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Dividend Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Dividend Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Growth Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Growth Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Growth Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Growth Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Market Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Market Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Market Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Market Index Fund Class I	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Value Index Fund Class A	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Value Index Fund Class C	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Value Index Fund Class F-1	\$ _____
Transparent Value Dow Jones RBP® U.S. Large-Cap Value Index Fund Class I	\$ _____
<b>Total Amount</b>	\$ _____

How often would you like automatic withdrawals?

Monthly  Quarterly  Annually

On or about which date? (e.g., 2nd, 15th) \_\_\_\_\_

**SECTION 4: Systematic Withdrawal Plan (continued)**

If no date is specified, withdrawals will be made on or about the 1st of each term (month, quarter, or year).

Money is to be sent by:  ACH  Check or  Cross-Invest

Fund \_\_\_\_\_

Account Number \_\_\_\_\_

- Please provide **bank information** in Section 6, if applicable.
- To establish systematic withdrawals, you need a minimum of \$10,000 in your account.
- Please note, the cost basis method on your account will be used for redemptions.

**SECTION 5: Telephone Privileges**

Telephone privileges:  Add  Remove

Adding telephone transaction privileges with redemption capabilities requires **bank information**. Please complete Section 6 if you do not have bank information listed on your account.

**SECTION 6: Bank Information**

Please provide bank information if you are establishing or modifying any of the following: an automatic investment plan; a systematic withdrawal plan; telephone/online transaction privileges; wire transfer capabilities; and/or are having cash distributions deposited into your account.

I would like to **add** bank information to this account to authorize purchase and redemptions via:  ACH transfer and/or  Wire transfer. I understand this authorization will allow me to make such transactions via telephone with an Investor Service Representative; using the automated service line; or on the website at www.transparentvalue.com.

I would like to **modify** my current bank information on this account for purchases and redemptions via:  ACH and/or  Wire transfer.

I would like to **remove** bank information on this account for purchases and redemptions via:  ACH and/or  Wire transfer.

**Account type:**  Checking  Savings

\_\_\_\_\_  
Name on Bank Account

\_\_\_\_\_  
Bank Name

\_\_\_\_\_  
Bank Account Number

\_\_\_\_\_  
ABA Routing Number

**Please attach a voided check or savings deposit slip from the specified bank account.**

- Adding/changing bank information requires a **signature guarantee**. Please see Section 8.

I authorize Transparent Value Funds to initiate credit and debit entries to my account at the bank that I have indicated. I further agree that Transparent Value Funds will not be held accountable for any loss, liability, or expense for acting upon my instructions. It is understood that this authorization may be terminated by me at any time by written notification to Transparent Value Funds. The termination request will be effective as soon as Transparent Value Funds has had reasonable time to act upon it.

**SECTION 7: Signatures**

I authorize Transparent Value Funds to make the changes indicated to my account.

I authorize Transparent Value Funds, and Its agents to act upon instructions (by phone or in writing) believed to be genuine for this account or any account into which exchanges are made. I agree that neither Transparent Value Funds nor its agents and affiliates will be liable for any loss, cost or expense for acting on such instructions, provided the Funds employ reasonable procedures to confirm that instructions are genuine.

**ALL owners of this account must sign below:**

Owner's Signature \_\_\_\_\_ Date (MM/DD/YY)

Joint Owner's Signature (if applicable) \_\_\_\_\_ Date (MM/DD/YY)

**SECTION 8: Signature Guarantee**

A signature guarantee is required for adding or changing bank information in addition to authorizing wire transfers on this account.

To protect yourself against fraud, your signature(s) must be guaranteed ("Medallion Signature Guarantee") by any "eligible" guarantor. The Medallion Signature Guarantee stamp MUST include the words "Signature Guaranteed, Medallion Guaranteed" and comply with the Medallion program requirements. Signatures notarized by a Notary Public are not acceptable.

- Eligible guarantor's:
- Commercial Banks
  - Credit Unions
  - Member Firms of a domestic stock exchange
  - National Securities Exchange & Savings  
(STAMP, SEMP, NYSE-MSP participation)
  - Savings Associations
  - Trust Companies

Medallion Signature Guarantee Stamp (ID Required) \_\_\_\_\_

Bank or Dealer Firm \_\_\_\_\_

Officer's Title \_\_\_\_\_

Officer's Signature \_\_\_\_\_ Date (MM/DD/YY)

[STAMP]

**Please mail completed form to:**

**Mailing Address**

Transparent Value Funds  
P.O. Box 46103  
Denver, CO 80201

**Overnight Address**

Transparent Value Funds  
1290 Broadway, Suite 1100  
Denver, CO 80203

If you have any questions, please contact an Investor Service Representative at 1-888-727-6885 or visit [www.transparentvalue.com](http://www.transparentvalue.com).

For Broker/Dealer Use Only	
Broker/Dealer Name	Broker/Dealer Number
Representative Name	Representatives Number
Street Address (Street, City, State, Zip Code)	